



national treasury

Department:
National Treasury
REPUBLIC OF SOUTH AFRICA

END-USER NOTICE AS PER THE TRANSPORT CIRCULAR 1 of 2022

SUBJECT- RT46-2020: REGULATORY REQUIREMENTS

1. Contract RT46/2020, Category A, B and C was awarded to First Rand Bank with the implementation date of 1 April 2021.
2. In terms of Section 21 of the Financial Intelligence Centre Act 38 of 2001 (FICA), it is required that an accountable institution must establish and verify the identity of its client prior to establishing a business relationship or concluding a single transaction with the client
3. The mandate of the Accounting Officer is specified in the Public Finance Management Act (PFMA) chapter 5 and the Municipal Finance Management Act (MFMA) chapter 8. Therefore, the Accounting Officer is the only person who is mandated to sign the Bank's mandate for RT46, unless there is a delegation of authority which authorises another person.
4. In terms of Treasury Regulation 8.1, the Accounting Officer must ensure that internal procedures and internal control measures are in place for payment approval and processing.
5. In terms of Treasury Regulation 8.2 .1 and 8.2.2:
Regulation 8.2.1 - An official of an institution may not spend or commit public money except with the approval (either in writing or by duly authorised electronic means) of the accounting officer or a properly delegated or authorised officer.
Regulation 8.2.2 - Before approving expenditure or incurring a commitment to spend, the delegated or authorised official must ensure compliance with any limitations or conditions attached to the delegation or authorisation.
6. Based on the Regulations, the Accounting Officer needs to ensure that all internal processes and controls are in place to approve any expenditure.
7. In terms of the Service Level Agreement of Contract RT46/2020 as entered into between First Rand Bank and the National Department of Transport, Addendum E states:

1.3 The contractor will need to obtain a completed mandate document approved by the Head of the Department or his/her delegate, indicating the various officials that are allowed to approve specific types of transactions or transactions with various financial limits.

8. In order to ensure adherence to the regulatory requirements, end user departments are required to provide the following information to the bank:

Accounting Officer	
Appointment Letter OR Delegation of Authority	Appointment letter of the Accounting Officer (Director General, Municipal Manager, Chief Executive Officer) or a letter confirming the appointment of acting appointment. OR Where the Accounting Officer delegated the function to a another official the letter or delegation of authority.
Identification	ID number or ID copy of the Accounting Officer or the official to whom the function was delegated.
Residential address	No proof of address for the official is required, merely record the address on the template that will be provided.
Authorised Users	
Details of officials appointed to engage with the Bank on RT46	List of officials and their contact details, that are authorized by the Accounting Officer to perform specific functions as contained in the mandate document.
Delegation to officials to grant approvals:	The mandate document from the bank that needs to be signed by the Accounting Officer or his/her delegate.
Identification	ID number or ID copy
Residential address	No proof of address is required, merely record the address on the template that will be provided.

Your prompt assistance in providing First Rand Bank with the required information to ensure regulatory compliance will be appreciated.



MULATEDZI RAUDZINGANA

ACTING DIRECTOR: TRANSVERSAL CONTRACTING

DATE: 16 AUGUST 2022